

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: Unassigned  
Examiner: Unassigned

In Re PATENT APPLICATION Of:

|                 |  |   |                    |
|-----------------|--|---|--------------------|
| Applicants :    | Hirotaka KOMATSUBARA   | ) |                    |
| Serial No. :    | Unassigned   | ) |                    |
| Filed :         | January 28, 2004   | ) | <b>INFORMATION</b> |
| For :           | SEMICONDUCTOR DEVICE AND<br>METHOD FOR MANUFACTURING<br>THE SAME | ) | <b>DISCLOSURE</b>  |
| Attorney Ref. : | KAN 155  | ) | <b>STATEMENT</b>   |
|                 |  | ) |                    |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

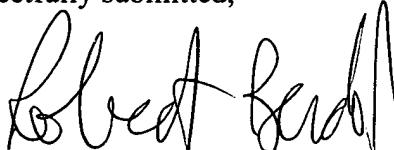
Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(b)(1).

Attached are copies of six Japanese patent publications and one English-language article. The publications and article are listed on the attached Form PTO-1449. Also listed on the Form PTO-1449 for consideration is one U.S. patent. Any relevance of the Japanese patent publications can be gleaned from the attached English-language abstracts. Any relevance of English-language documents is self-evident. Any further relevance of the Japanese patent publications and English article can be gleaned from pages 1 through 3 of the present application, where they are discussed.

Since this Information Disclosure Statement is being filed with the application, no certification or fee is required, and the requirements of 37 C.F.R. §§1.97 and 1.98 are deemed to be fully met as to the documents submitted. Consideration of the submitted and listed documents is respectfully requested.

Respectfully submitted,



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January 28, 2004

Date

RHB:tz

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| <b>FORM PTO-1449</b><br><b>INFORMATION DISCLOSURE STATEMENT</b>  |    | Atty Docket<br><b>KAN 155</b>  | Application No.<br><b>To Be Assigned</b> |                       |       |           |                 |
|--|----|--|--|-----------------------|-------|-----------|-----------------|
|  |    | Applicant<br><b>Hirotaka KOMATSUBARA</b>   |  |                       |       |           |                 |
|  |    | Filing Date<br><b>January 28, 2004</b>   | Group Unit<br><b>To Be Assigned</b>      |                       |       |           |                 |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |  |                       |       |           |                 |
| Examiner Initial   |    | Document Number  | Date                                     | Name                  | Class | Sub-Class | Filing Date     |
|  | AA | <b>6,566,712</b>   | <b>05/20/03</b>                          | <b>Hayashi et al.</b> |       |           |                 |
|  | AB |  |  |                       |       |           |                 |
|  | AC |  |  |                       |       |           |                 |
|  | AD |  |  |                       |       |           |                 |
|  | AE |  |  |                       |       |           |                 |
|  | AF |  |  |                       |       |           |                 |
|  | AG |  |  |                       |       |           |                 |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |  |                       |       |           |                 |
|  |    | Document Number  | Date                                     | Country               | Class | Sub-Class | Trans-lation    |
|  | AH | <b>2003-124303</b>   | <b>04/25/03</b>                          | <b>Japan</b>          |       |           | <b>Abstract</b> |
|  | AI | <b>2001-148418</b>   | <b>05/29/01</b>                          | <b>Japan</b>          |       |           | <b>Abstract</b> |
|  | AJ | <b>2001-102571</b>   | <b>04/13/01</b>                          | <b>Japan</b>          |       |           | <b>Abstract</b> |
|  | AK | <b>2000-306994</b>   | <b>11/02/00</b>                          | <b>Japan</b>          |       |           | <b>Abstract</b> |
|  | AL | <b>07-115125</b>   | <b>05/02/95</b>                          | <b>Japan</b>          |       |           | <b>Abstract</b> |
|  | AM | <b>01-138730</b>   | <b>05/31/89</b>                          | <b>Japan</b>          |       |           | <b>Abstract</b> |
|  | AN |  |  |                       |       |           |                 |
| <b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b>  |    |  |  |                       |       |           |                 |
|  | AO | <b>Thomas et al., Characteristics of Submicrometer LOCOS Isolation, Proceedings 1995 International SOI conference, Oct. 1995, P116-117</b> |  |                       |       |           |                 |
|  | AP |  |  |                       |       |           |                 |
|  | AQ |  |  |                       |       |           |                 |
|  | AR |  |  |                       |       |           |                 |
| Examiner   |    |  |  | Date Considered       |       |           |                 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. |    |  |  |                       |       |           |                 |